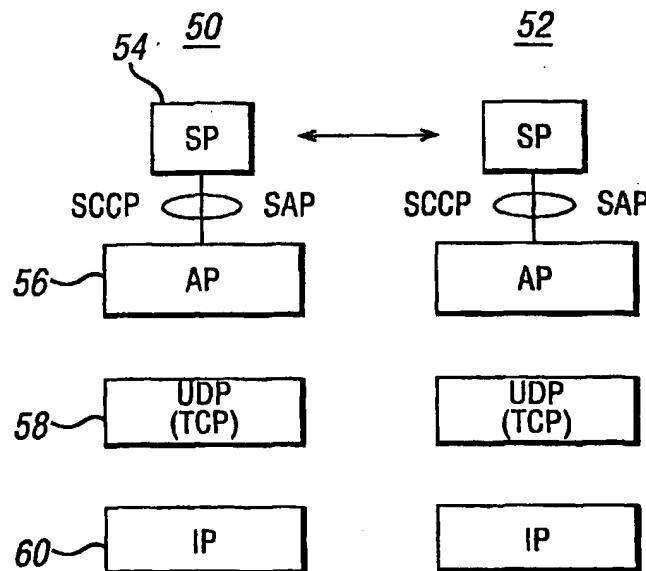




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 :  H04L 29/06		A1	(11) International Publication Number: <b>WO 00/56032</b>  (43) International Publication Date: 21 September 2000 (21.09.00)
<p>(21) International Application Number: PCT/GB00/00936</p> <p>(22) International Filing Date: 14 March 2000 (14.03.00)</p> <p>(30) Priority Data: 9905835.6 15 March 1999 (15.03.99) GB</p> <p>(71) Applicant (for all designated States except US): LUCENT TECHNOLOGIES INC [US/US]; 600 Mountain Avenue, Murray Hill, NJ 07974-0636 (US).</p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (for US only): COSTA, Mauro [IT/GB]; Bramley Top, Daccos Road, Chippenham, Wiltshire SN5 7LD (GB). MASTROMARTINO, Emiliano, Antonio [IT/GB]; 10 Cooper Fields, Swindon, Wiltshire SN2 3YT (GB). SALGARELLI, Luca [IT/GB]; 6 The Elms, Highworth, Swindon, Wiltshire SN6 7DD (GB). SIVAGNANASUNDARAM, Suthaharan [LK/GB]; Flat 4, Ardlebank, Kingsbury Street, Marlborough, Wilts SN8 LHU (GB).</p> <p>(74) Agents: WILLIAMS, David, J. et al.; Lucent Technologies UK Limited, 5 Mornington Road, Woodford Green, Essex IG8 0TU (GB).</p>		<p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>	

(54) Title: TELECOMMUNICATIONS SIGNALLING USING THE INTERNET PROTOCOL



## (57) Abstract

When a telecommunications network involves use of the Internet Protocol, signalling information is passed by providing between a user and the Internet Protocol an interface protocol (56) which interfaces with one or both of the Transport Control Protocol and the User Datagram Protocol. The interface protocol layer provides selected parts of SS7 facilities.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

5

## TELECOMMUNICATIONS SIGNALLING USING THE INTERNET PROTOCOL

This invention relates to telecommunications signaling using the Internet protocol, particularly when the telecommunications signaling is Signaling System 10 number 7.

Signaling information needs to be exchanged between network nodes in order to operate the network properly. These requirements make signaling an extremely delicate information, which has to rely on reliable and secure transport mechanisms to ensure that messages are transferred uncorrupted and to guarantee the appropriate level 15 of protection against network failures of any sort.

Traditional telecommunications networks have adopted the Signaling System Number 7 (SS7) as the international standard for common signaling. This is a well-known technology and is used throughout the world both in cellular and fixed networks, and SS7 networks have been proved to be ideal for traditional telephone 20 networks. Internet traffic does not make use of SS7. As a result of the explosion of the Internet, the associated protocols (based on the Internet Protocol (IP)) are becoming ubiquitous and techniques to transfer real-time and mission critical applications using IP are being proposed in the relevant standards organizations. The major drawback typically associated with IP is its unreliability. Traditionally to obtain 25 reliable service in transferring data over an IP network the use of TCP (Transport Control Protocol) was the only choice. But the TCP offers only a best effort type of service to its user. In clear terms this means that the protocols themselves have not been originally designed to satisfy telephone telecom network -like requirements. Background information on signaling protocols and Internet protocols can be obtained 30 from the following documents:

Multi Network Datagram Transmission Protocol (MDTP) – IETF Internet-Draft, Motorola, 15<sup>th</sup> February 1999;

A Simple SCCP Tunneling Protocol (SSTP). IETF, Internet-Draft, Ericsson, 30<sup>th</sup> November 1998;

35 Architectural Framework for Signaling Transport. Ericsson, February 1999.

The problem of transferring real-time critical information, e.g.

telecommunications data, over the Internet Protocol has been addressed in different ways. This specification relates to the requirements that SS7 users place on the underlying network. General solutions to the problem have been proposed which require the specification of new protocols whose main purpose is to provide fault tolerant reliable/unreliable data transfer between communicating processes over IP networks. These protocols are generic and do not assume specific translation or adaptation functions from/to SS7 protocols.

Another category of solutions includes adaptation layers specifically designed to adapt/shield SS7 users from the underlying IP networks.

10 The first category of solutions (i.e. generic protocols) are typically rather complex; they are in fact typically conceived for use in the Internet as a distributed geographical network. They have to incorporate reliability and fault management characteristics to compliment the existing Internet Protocols. They have also to include full routing and addressing mechanisms. These solutions are not suited to simple  
15 network topology, like point-to-point links arrangements.

The second category of solutions (additional protocols which act as adaptation layers) are located between existing SS7 protocols and existing Internet Protocols, and they have the purpose of shielding the SS7 user from the underlying network. These approaches are powerful but they are mainly conceived as straightforward adaptation  
20 protocols to be used in a geographical network, at the boundaries between SS7 domains and IP domains or when inter-working between the two worlds is necessary. Overall, these protocols or adaptation layers have to be inserted between existing protocols like SCCP (Signalling Connection Control Protocol) and TCP. Because of this, they add complexity to the system and are not suited for simple network topology  
25 arrangements, for example cellular networks

According to the invention, in a telecommunications network using Internet Protocol, a method of passing signaling information, meeting the telephone network-like requirements, characterized by providing between a user and the Internet Protocol an interface protocol layer, said interface protocol layer interfacing with at least one of  
30 the Transport Control Protocol and the User Datagram Protocol.

Preferably the interface protocol layer is provided between a signalling

application adopting the SCCP SAPs (Service Access Points) to access the signalling transport service, and the Internet protocol. Telephone network-like requirements are therefore met.

In the accompanying drawings, the prior art is illustrated in figures 1 and 2 in  
5 which:-

Figure 1 is a highly schematic diagram of a mobile radio telecommunications system; and

Figure 2 illustrates a currently-used protocol stack.

The invention will be described by way of example only with reference to  
10 Figures 3, 4 and 5 in which:-

Figure 3 illustrates the inventive protocol stack;

Figures 4a and 4b illustrate prior art and inventive protocol stacks implemented in a Base Station Controller in the Global System for Mobile telephones (GSM); and

Figures 5a and 5b illustrate prior art and inventive protocol stacks implemented  
15 in a Radio Network Controller in the Universal Mobile Telephone System (UMTS).

In Figure 1 a GSM and UMTS mobile radio telecommunications system 10 comprises GSM and UMTS Core Networks (CN) 12, 14 which communicate over respective radio access network interfaces 16, 18 with first and second Base Station Controllers (BSC) 20, 22 each controlling a plurality of Base Transceiver Stations 20 (BTS) of which two only 24, 26 are shown. Each BTS controls at least one telecommunications cell over an air interface 28, 30 and each cell may contain one or more mobile users 32, 34.

The SS7 protocol is used to exchange radio access network signaling messages between the CNs 12, 14 and the BSCs 20, 22.

25 Suppose now that CNs 12, 14 wish to communicate with BSCs 20, 22 through the signalling interfaces 16, 18.

The current GSM signalling transport protocol arrangement for the signalling interface 16 is shown in Figure 2.

The GSM BSC 20 use the signaling interface 16 that operates SCCP 42 which  
30 offers to the Signalling Application 38 a number of Service Access Points (SAP) to access its signalling transport services. Below the SCCP protocol layer 42 are three

Message Transfer Part (MTP) specialized functional layers 44 that offer network, data link and physical medium access services.

The UMTS BSC 22 to UMTS CN 14 signalling interface has a similar protocol arrangement.

5 As explained above, this combination of standard SCCP and standard UDP or TCP and IP protocols is not fully satisfactory for passage of signaling information. The invention consists of a protocol which adapts existing SS7 users to the underlying IP network protocol suite. Specifically the invention targets the Transport Control Protocol (TCP) and the User Datagram Protocol (UDP) as underlying protocols.

10 The proposed adaptation layer provides the user with SCCP SAPs and assumes TCP or UDP as underlying transport protocols. It complements the functions already provided by the standard transport protocols (i.e. TCP or UDP) with additional functions to provide the user with the same quality of service the user would expect if a full SS7 stack were to be used instead.

15 Referring now to Figure 3, in a BSC 50 in which the invention is implemented, the signaling application 54 makes use of the SCCP services as in the prior art. The next protocol layer is an Additional Protocol (AP) 56 that provides SCCP services with specific functions. The Additional Protocols, in turn, make use of the transport layer services. As already clarified, the transport layer services can be provided by either TCP 20 or UDP. The UDP layer 58 (layer 4) is used for basic connection less messaging, over the Internet Protocol layer 60 (layer 3) which is used to provide network layer services. The protocol stack in BSC 52 is identical.

As an alternative to, or additional to, the UDP layer, the TCP may be provided.

25 The AP layer 56 is arranged to provide selected features of SS7 which are interfaced with Internet Protocol, but by addition of a protocol, and not by addition of an application, as in the prior art.

An advantage of such an arrangement is that required features of SS7 can be retained, depending on the circumstances. More detailed implementation in the GSM and the UMTS will now be described.

30 Figure 4a shows the SS7 signaling protocol stack in a prior art BSC 20 in the GSM system. The protocols are BSSMAP (BSS Management Application Part) 70;

DTAP (Direct Transfer Application Part)(Distribution Function) 72; SCCP 74; and three layers of MTP 76.

Figure 4b illustrates the protocol arrangement in a BSC 78 according to the invention. The protocols BSSMAP 80 and DTAP 82 are retained. The next layer is the 5 inventive Additional Protocol layer 56; followed by the UDP or TCP layer 58 and then IP 60.

Figure 5a shows the SS7 signaling protocol stack in the prior art UMTS BSC (equivalent from a gross functional standpoint to the Radio Network Controller in the UMTS access network). The protocol layers are RANAP (Radio Access Network 10 Application Part) 84; SCCP 86; MTP-3b (MTP layer 3 according to Recommendation Q.2210); SAAL (Signalling ATM Adaptation Layer) 90; and ATM (Asynchronous Transfer Mode) 92.

Figure 5b illustrates the protocol arrangements in an RNC 94 according to the invention. The RANAP layer 96 is retained. The next layer is the inventive additional 15 protocol layer 56 followed by the UDP and/or TCP layer 58, and IP 60.

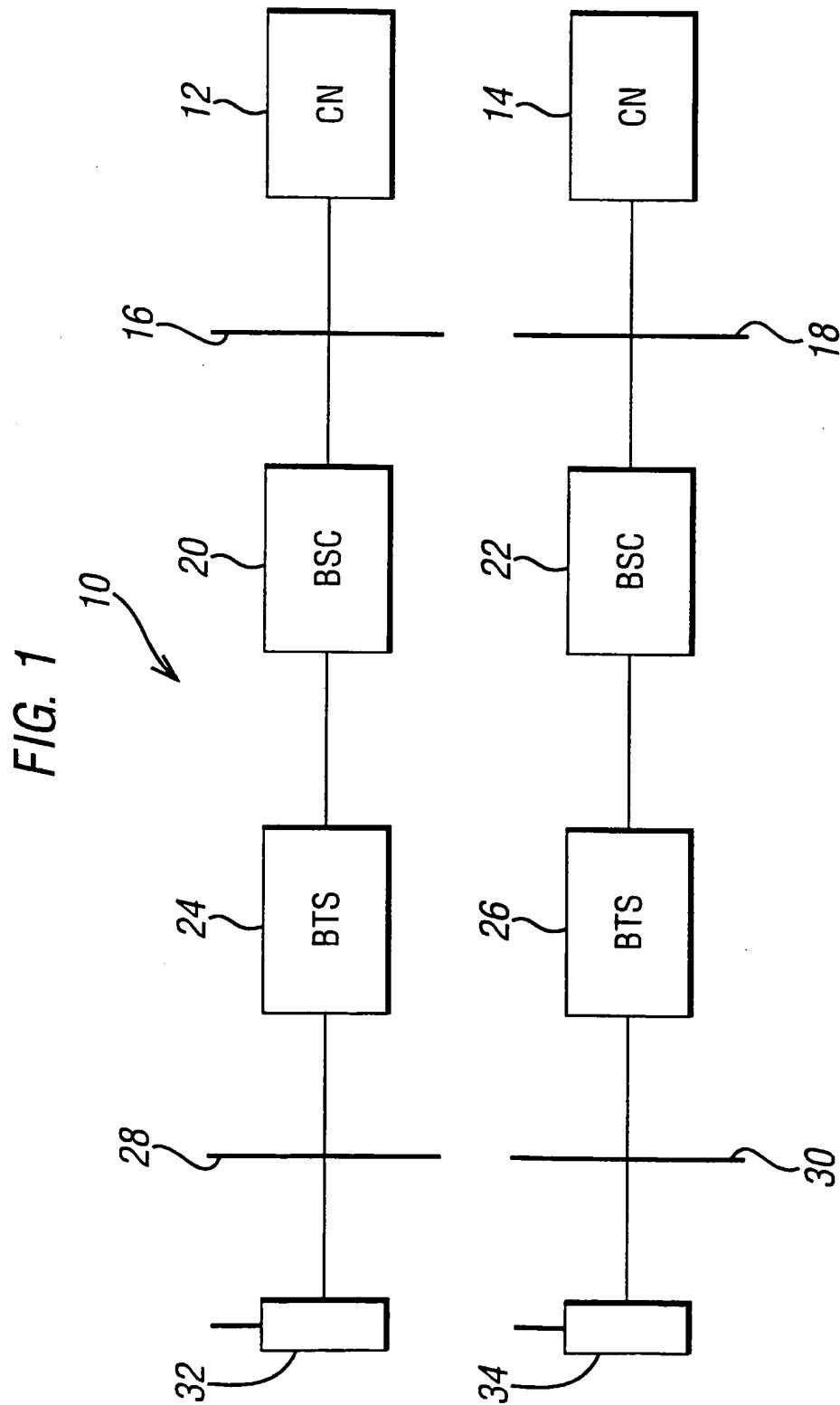
The major achievement of this invention is the ability to provide SS7-like quality of service over standard TCP or UDP networks. The invention exploits transport and network service characteristics of the underlying transport protocols (UDP or TCP) and network protocol (IP) and reduces the implementation complexity typically 20 required to adapt SS7 to the IP protocol suite. It allows both connection-oriented and connection-less mode of operation as described in ITU-T Recommendation Q.711. It also allows the use of the User Datagram Protocol when the characteristics of the user are compatible with the transfer characteristics provided by UDP. The main improvement on known existing solutions is the simplicity.

25 The novel Additional Protocol layer AP replaces the standard SS7 stack (or the relevant part of it) and functions with equivalent and more simple functions and provides the user the SCCP services with equivalent quality of service without requiring specific transport protocols or adaptation to existing transport protocol.

## CLAIMS

- 1 In a telecommunications network using the Internet Protocol, a method of passing signaling information characterized by providing between a user 32 and the Internet Protocol 60 an interface protocol layer 56, said interface protocol layer 5 interfacing with at least one of the Transport Control Protocol and the User Datagram Protocol
- 2 A method according to Claim 1 in which the interface protocol layer is provided between a signalling application adopting the SCCP SAPs to access the signalling transport service, and the Internet Protocol.
- 10 3 A method according to Claim 1 or Claim 2 in which the interface protocol layer 56 is arranged to adapt a user operating Signaling System Number 7 to the Internet Protocol.
- 4 A method according to any preceding claim in which the Additional Protocol layer 56 provides for the user selected SS7 functionality.

1/3



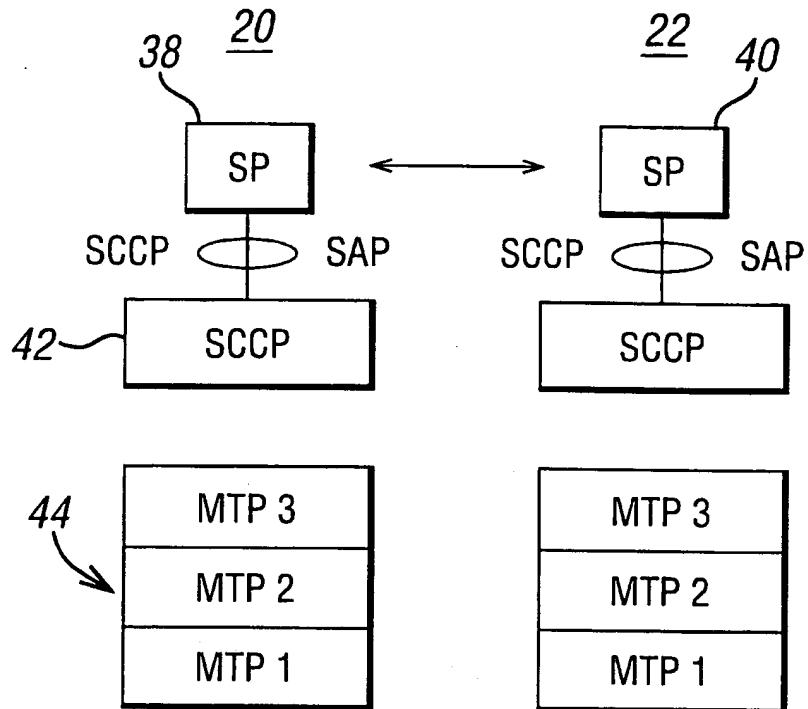
2/3  
FIG. 2

FIG. 3

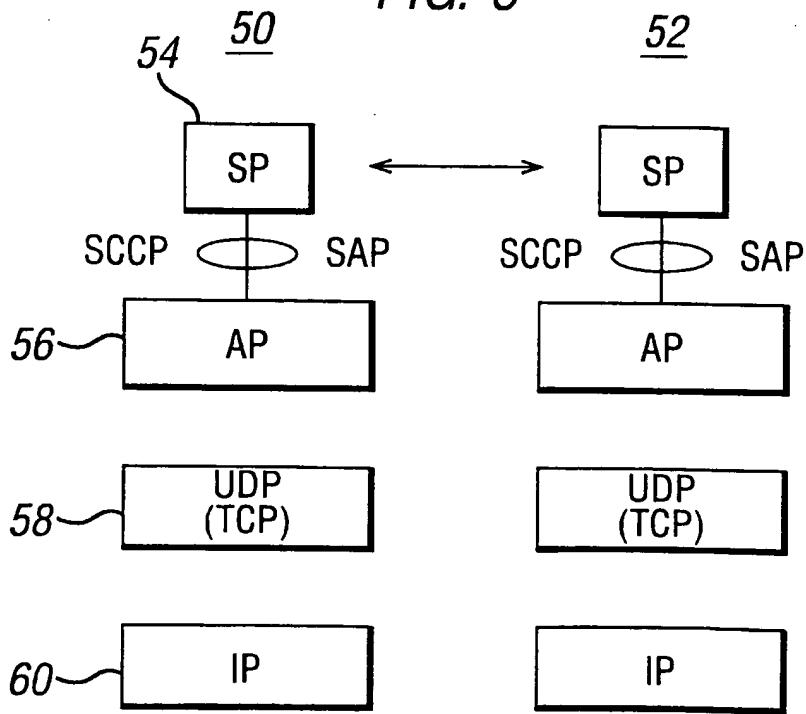


FIG. 4a

BSC 20

3/3

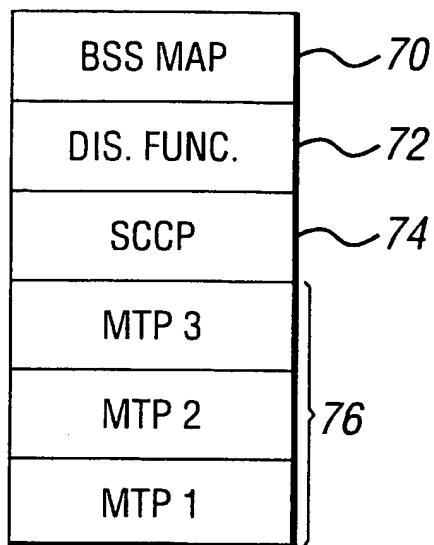


FIG. 4b

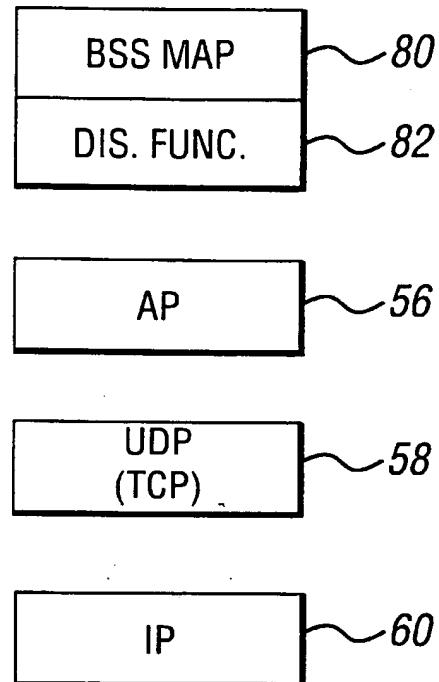
BSC 78

FIG. 5a

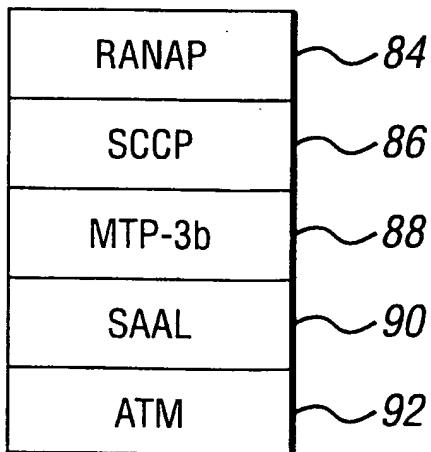
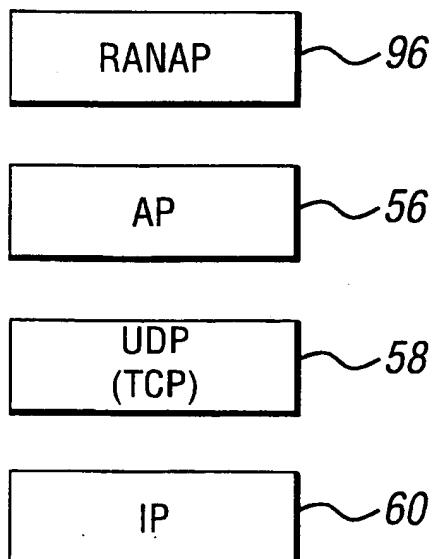
RNC

FIG. 5b

RNC 94

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 00/00936

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04L29/06

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category <sup>a</sup>	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 793 771 A (DARLAND TIMOTHY E ET AL) 11 August 1998 (1998-08-11) abstract column 9, line 40 - line 67 -----	1-4

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
15 August 2000	22/08/2000
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl Fax: (+31-70) 340-0016	Authorized officer  Canosa Arresté, C

# INTERNATIONAL SEARCH REPORT

## Information on patent family members

International Application No

PCT/GB 00/00936

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US 5793771 A	11-08-1998	CA 2258597 A		31-12-1997
		EP 0917786 A		26-05-1999
		WO 9750217 A		31-12-1997